Concept of local polaritons and optical properties of mixed polar crystals

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(Received 13 April 2000)

The concept of local polaritons is used to describe the optical properties of mixed crystals in the frequency region of their restrahlen band. It is shown that this concept allows for a physically transparent explanation of the presence of weak features in the spectra of so-called one-mode crystals and for one-two mode behavior. The previous models were able to explain these features only with the use of many fitting parameters. We show that under certain conditions new impurity-induced polariton modes may arise within the restrahlen of the host crystals, and study their dispersion laws and density of states. Particularly, we find that the group velocity of these excitations is proportional to the concentration of the impurities and can be thousands of times smaller than the speed of light in vacuum.

I. INTRODUCTION

The optical properties of mixed polar crystals have been attracting a great deal of interest since the 1950s. The main efforts have been directed to experimental and theoretical studies of the concentration dependences of fundamental transverse (TO) and longitudinal (LO) phonon modes, and to the fine structure of spectra in the frequency region between them (restrahlen band). Reviews of earlier experimental and theoretical works in this area can be found in Refs. 1 and 2. In spite of the disordered nature of mixed crystals, it is usually possible to observe both TO and LO modes of pure crystals at both ends of the concentration range, as well as features associated with disorder.1–3 This is usually done in reflectance or transmittance experiments by identifying the maxima of Im(ε) and −Im(1/ε) with TO and LO modes, respectively, where ε is the dielectric function.4

The term “mixed crystals” is usually applied to materials in which the concentration of each component is large, so that no component can be considered as a system of independent impurities. At smaller concentrations the dynamics of impure systems is described in terms of defect states, which are either localized or quasi-localized depending on whether they fall into forbidden or allowed bands5–8 of the pure system. The transition from low- to high-concentration behavior occurs when the average distance between defects becomes of the same order as the localization length of the individual states. In the case of the local phonon states, the localization length is of the order of a few lattice constants, and the respective transition concentration is of the order of 1019 cm−3. Most of the studies of mixed crystals were focused upon combinations of alkali halides, II/VI and III/V group polar binary crystals AB1−pCp, where p is concentration. The B atoms are substituted by the atoms C from the same column of the periodic table. It was found that there were several patterns that TO and LO modes follow in mixed crystals.1–3,9,10 In type I mixed crystals, also called one-mode crystals, the frequencies of the TO and LO modes evolve smoothly and almost linearly with the composition parameter p from their values in AB to those in AC. In some one-mode systems [e.g., Ba/SrF2, Ca/SrF2 (Refs. 11 and 12)] an additional pair of weak modes inside the absorption band is observed.

Type II or two-mode mixed crystals exhibit qualitatively different behavior. Two distinct restrahlen bands are usually observed in the crystals of this category. For arbitrary concentration, the width of each of these bands, ΩLO−ΩTO, is approximately proportional to the composition parameter p for the dopant related band, and to 1 − p for that of the original material. Two-mode behavior is characteristic for the crystals formed by elements from III and V groups of the periodic table (e.g., GaAs/P and the like).

Another mode behavior could not be fit experimentally either to one- or to two-mode patterns (e.g., GaInP, PbSe/Te, K/RbI, etc.). This group of mixed crystals was called type I-II or one-two-mode systems. In these systems, one mode behaves as in type I, while the other behaves as in type II, which is why type I-II is also called a mixed mode.

To explain different types of behavior in mixed polar crystals, a number of theoretical models have been proposed. In IR experiments, modes with wave numbers q ∼ 0 are excited. The random element isodisplacement (REI) model1,7,18 takes advantage of this fact, assuming that the sublattices vibrate in phase with q = 0. With some modifications1 after including the local field, and assuming a dependence of the force constants upon the composition, the modified REI (MREI) model was successfully used to fit two-mode crystals but encountered some problems in the one-mode materials. The fine structure of some mixed crystals was reproduced along the lines of the REI model by means of a considerable increase in the number of fitting parameters (cluster model18). A different approach made use of the Green’s function formalism in order to treat vibrations in the mixed crystals; averaging over disorder was performed either in a simple virtual crystal approximation (VCA) or with the use of the much more elaborate coherent potential approximation (CPA). The interaction with the electromagnetic field in these approaches was included after the averaging procedure was performed. The mean field approximation treats the crystal as if it has atoms of the same sort with some average properties. It reproduces well the one-mode behavior, but could not possibly explain the two-mode sys-
tems. The CPA combined with an electrostatic treatment of the electric field was used to fit a broader range of experimental data (see, for instance, Ref. 20).

An important theoretical problem many researchers have focused upon is to find a simple criterion for different mode behavior, based upon dynamical properties of the crystals. Lucovsky et al. originally considered the significance of the original absorption bands overlap or not, which turned out to be a rather rough criterion. Harada and Narita proposed a criterion based on relations between MREI constants (see also review papers in Refs. 1 and 2). It turned out that one-mode and two-mode crystals can be described using quite simple models with only a few fitting parameters, such as the VCA and MREI model, respectively. Lucovsky et al. in Ref. 9 made an attempt to establish a connection between the behavior of a mixed crystal and the existence of the localized modes at small values of concentration parameters \( p \) or \( 1 - p \). It was suggested that the two-mode behavior is associated with the existence of local impurity states at both ends of the concentration range, while the one-mode regime occurs when such local states do not arise. These ideas, however, could not be applied to the mixed-mode behavior since the local phonon states arise either in the gap between acoustic and optic branches or above the optic branch of the phonon spectrum. Therefore, the mixed-mode crystals required much more elaborate models, where agreement with experimental data can only be achieved by increasing the number of fitting parameters. The same was true for weak features of spectra of the one-mode crystals.

One of the goals of the present paper is to put forward a simple physical picture explaining the mixed-mode behavior based upon the concept of local polaritons and an impurity-induced polariton band. The local polariton is a state that arises due to an impurity with frequencies inside the re"strahlen band of a crystal. These states have an electromagnetic component which is localized around the defect, because it cannot propagate in the region with a negative dielectric constant. A local polariton state within the re"strahlen region was first observed experimentally in Ref. 21 as a weak feature in reflectance and Raman spectra of GaAs doped with Sn, Te, or S. These states were found to be associated with the local change in susceptibility due to localization of an electron around the dopant. An interaction between the localized electron and LO vibrations of the crystal gives rise to local LO phonons; therefore these local states have a complicated structure that involves interactions between electrons, phonons, and the electromagnetic field. Theoretically these states, however, were only considered in the electrostatic approximation, and therefore these early observations did not lead to the concept of local polaritons, which requires that the retardation be taken into account.

A new wave of interest in the optical properties of impure crystals in the re"strahlen region occurred recently and was due to a general interest in systems with depleted or altered electromagnetic density of states (DOS). The primary examples of such systems are photonic crystals and microcavities. The re"strahlen region in polar crystals was considered from this new perspective independently in Refs. 24–26, where the concept of local polaritons was introduced. The local state considered in Ref. 24 arises due to an impurity atom with optically active electronic transitions, which interacts with host atoms through the electromagnetic field only. With the transition frequency inside the re"strahlen band, this atom forms a local atom-radiation bound state. To some extent these states are similar to the ones observed by Dean et al., though an interaction with phonons was left out in Ref. 24. A different type of local polariton was considered in Refs. 25 and 26, where it was shown that regular isotopic impurities can give rise to local states with frequencies inside the re"strahlen region. The interaction with the retarded electromagnetic field is responsible for the localized electromagnetic component of the states. The detailed analysis of the local polariton mode in a three dimensional sodium-chloride-like structure (bcc) crystal in the case of diagonal and off-diagonal disorder was carried out in Ref. 27. It was proved that, because of retardation effects, a local polariton mode splits off the bottom of the TO-LO gap for an arbitrarily small strength of the defects even in three-dimensional systems.

When considering local polaritons, the authors of Refs. 25–27 assumed that the re"strahlen is a spectral gap not only for electromagnetic excitations but for phonons as well. This is indeed the case for some polar crystals. It occurs more frequently, however, that the re"strahlen region is devoid of transverse optic phonons, but is still filled with LO modes. In this case the interaction between local polaritons and LO phonons would make the former quasistationary. Whether the local polaritons survive this interaction depends upon their life-time, and we shall address this question in the present paper. At the same time it is useful to note that the states observed in Ref. 21 reside in the frequency region where the density of LO modes is especially large. The fact that they remained observable allows for optimism that the local polariton states of Refs. 25–27 could also survive provided that the DOS of LO is not too large. If this is the case, then local polaritons due to isotopic impurities can be invoked to explain the mixed-mode behavior of mixed crystals and weak features in the one-mode systems without having to introduce tens of fitting parameters.

These ideas can, for example, be used to discuss the one-two-mode mixed crystal Ga\(_{0.70}\)In\(_{0.30}\)P. Pure GaP has a complete polariton gap in all directions, and the experiments of Ref. 28 clearly demonstrated the polariton band associated with In atoms inside the re"strahl of GaP even at room temperature. Polariton branches inside re"strahlen were also observed in pure CuCl. This material demonstrates a very peculiar behavior because Cu atoms can occupy several non-equivalent positions, thereby producing defects even in a pure material. These defects were shown to be responsible for a new TO mode with a frequency in the main re"strahlen. These experiments were originally described using a phenomenological two-oscillator model, but they perfectly fit to the idea of local polariton sates.

Local polaritons, however, can take us further than an explanation of the old reflectivity experiments. When retardation is taken into account, the local polaritons give rise to a new transmitting channel for electromagnetic excitations, via an impurity-induced polariton band. Experimentally, such a band can be observed in transmission steady-wave and time-resolved experiments. The majority of the old experiments mentioned above dealt with reflection spectra. Dips in the reflection coefficient in those experiments were
associated with impurity-induced absorption, while they could have actually occurred because of enhanced transmission via the impurity-induced polariton band. The effect of the enhanced transmission inside the restrahlen region of a polar crystal was observed in CuCl.\textsuperscript{30}

We showed analytically that local polaritons give rise to a strong resonance enhancement of the transmittance at the frequency of the local mode,\textsuperscript{31,32} using a one-dimensional chain of dipoles. In the system without dissipation, the maximum value of the transmission coefficient reaches unity, although the peak width depends exponentially upon the size of the system. Numerical simulations for a finite concentration of impurities showed that a localized mode develops into a conduction band inside the original polariton gap.\textsuperscript{32} The growth of the local state into a conduction band in the system of optically active defects was also demonstrated in Ref. 33. An analytical treatment of the impurity-induced polariton band in the dispersionless one-dimensional dipole chain, with a concentration of defects varying in the range $0<p<1$, was proposed in Ref. 34. Using the microcanonical method\textsuperscript{35} we were able to calculate the DOS due to the defect subsystem and the extinction (localization) length. We found that the impurity-induced band has a number of interesting properties. The group velocity of electromagnetic excitations, propagating in this band, for instance, was found to be proportional to the concentration of the impurities, and can be significantly smaller than the speed of light in vacuum. The results of analytical calculations were in agreement with numerical simulations in the presence of the spatial dispersion. The knowledge obtained from analytical dependencies of DOS in the one-dimensional model gives us insight into a treatment of three dimensional mixed polar crystals. The spatial size of local polariton states may be as large as the electromagnetic (EM) wavelength, meaning that even at a very low impurity concentration $\sim 10^{12}$ cm$^{-3}$, the local polaritons significantly overlap. This fact allows us to develop a continuous approximation for calculating properties of the polariton-induced band. Applying this approximation to the one-dimensional case, we can use our previous one-dimensional (1D) results to test the suggested approach.

The structure of our paper is as follows. In Sec. II, the continuous approximation for the mixed crystals, based upon the concept of local polaritons, is introduced. In Sec. III, we estimate the lifetime of the polariton local states due to interaction with LO modes. We show that under realistic assumptions about the density of states of LO modes, this lifetime is compatible with that caused by inelastic relaxation, and may be long enough for local polaritons to survive. Section IV deals with the properties of the impurity-induced polariton band (dispersion laws and density of states of the respective excitations are studied). We also consider in this section the concentration dependence of poles and zeros of the dielectric function, and a simple explanation of the mixed-mode and one-mode (with weak structure) behaviors is proposed. Section V is devoted to an investigation of the reflection and transmission spectra of the semi-infinite mixed crystals and slabs of finite width. In Sec. VI we calculate the scattering length of the impurity-induced polaritons due to the fluctuations of concentration, and demonstrate why this scattering is significantly less important for impurity-induced polaritons than for regular polariton branches. This section provides an additional justification for the main results of the paper.

**II. MODEL**

In our recent work, Ref. 34, we considered development of an impurity-induced polariton band in a one-dimensional model, and used the so-called microcanonical method\textsuperscript{35} in order to analytically calculate the complex Lyapunov exponent of the system. The approximation used turned out to be in a very good agreement with numerical results, and was shown to be equivalent to a continuous medium approximation. The latter can easily be generalized for 3D systems, and below we develop an approach to the impurity-induced polariton band in a 3D mixed polar crystal using the following fundamental assumptions.

In order to model the optic vibrations of the system under study, we introduce two subsystems of oscillators with different frequencies. One subsystem represents an optic mode of the host atoms. The interaction between this mode and light leads to the polariton gap between TO and LO frequencies of the pure crystal. The second subsystem introduces vibrations of impurities, and it is assumed that its frequency belongs to the restrahlen of the host crystal. This is a crucial assumption of the model, since the interaction between light and this mode brings about local polariton modes. The significance of this assumption rests upon two ideas. The first one goes back to Lucovsky\textsuperscript{9} et al., who connected modes of mixed crystals with local impurity modes. The second one is the concept of local polaritons, which are local states with frequencies in the restrahlen of the host. In the one-dimensional case we have confirmed analytically and numerically\textsuperscript{32,34} that the presence of the local polaritons gives rise to an impurity polariton branch, when the concentration of impurities grows.

The frequencies of both oscillators are, in general, complex valued. The imaginary part of host vibrations is due to anharmonicity, while the impurity mode has two sources of decay. Besides the usual relaxation, local polaritons can acquire an additional imaginary part due to an interaction with LO phonons which in some cases can fill the restrahlen. We will consider the contribution of this interaction into the lifetime of local polaritons in the next section, and the general effects of relaxation upon reflection spectra of mixed crystals will be discussed in Section V.

It was found in Refs. 25–27 and 34 that for all frequencies outside of the immediate vicinity of the TO boundary of the restrahlen, the localization length $l_0$ of local polaritons is of the order of magnitude of the wavelength of the incident light, $\sim 10^{-3}$ cm. Even for residual concentration $n \sim 10^{12}$ cm$^{-3}$ of the impurities, $nl_0^3$ is large. The individual states significantly overlap, and a macroscopic volume containing many impurities can still be much smaller than the localization length. This fact allows us to develop a continuous medium approximation similar to the usual one used to treat long-wave excitations,\textsuperscript{36} but this time we use it to treat the subsystem of impurities. We would like to emphasize again that this consideration is reasonable for the polariton band only because of the long-range nature of the localized electromagnetic component of the local polaritons.
The microscopic Hamiltonian describing optic modes of our system is
\[
H = \sum_r \frac{\mu_0}{2} \left( \frac{du_r}{dt} \right)^2 + \sum_{r'} \frac{\mu_1}{2} \left( \frac{dv_{r'}}{dt} \right)^2 + \frac{1}{2} \sum_{r,r'} \Phi(u_r,v_{r'}),
\]
where \(\mu_0, \mu_1\) and \(u_r, v_{r'}\) are the reduced masses and relative displacements of the ions in pure \(AB\) and \(AC\) crystals, respectively. We will assume that the presence of atoms \(C\) does not affect the order in the \(A\) sublattice. The summation runs over spatial index \(r\) for host atoms and over \(r'\) for the impurities. The third term in the above expression is the potential energy. In the harmonic approximation it can be written as
\[
\Phi(u_r,v_{r'}) = \Phi_r^{(0)}(r-r_1)u_r u_{r1}^{(1)} + \Phi_{r'}^{(1)}(r'-r_1')v_{r'} v_{r1}',
\]
In order to avoid unnecessary complications, we assume no spatial dispersion in our model Hamiltonian (1) and isotropy of force constants,
\[
\Phi(u_r,v_{r'}) = \Phi_0 u_r^2 + \Phi_1 v_{r'}^2,
\]
where \(\Phi_0\) and \(\Phi_1\) are force constants describing the interaction of the ions in the \(AB\) and \(AC\) lattices, respectively. As follows from the form of expression (3), the contributions due to host and impurity ions in the Hamiltonian (1) can be separated:
\[
H = \sum_r \left[ \frac{1}{2} \mu_0 \left( \frac{du_r}{dt} \right)^2 + \frac{1}{2} \Phi_0 u_r^2 \right]
+ \sum_{r'} \left[ \frac{1}{2} \mu_1 \left( \frac{dv_{r'}}{dt} \right)^2 + \frac{1}{2} \Phi_1 v_{r'}^2 \right].
\]
We have several parameters of the dimension of length in our system: a lattice constant \(a\); an average distance between defects, \(l \sim n^{-1/3}\), which depends on concentration; and the localization length and the wavelength of the incident light, which are of the same order, \(l_0 \sim \lambda \sim 10^{-5} \text{ m}\). We shall assume that the concentration is such that \(n \gg l_0^{-3}\). When this condition is satisfied, the individual defect states overlap, and the dynamical properties, apart from those at the fluctuation band edge, do not depend significantly upon the particular arrangement of the defects in the crystal. Hence, one can introduce a smoothing parameter \(l_{SV}\) such that \(l \ll l_{SV} \ll l_0\). The macroscopic volume associated with this parameter is such that it contains macroscopically many impurities, but there are still no significant changes in spatial distribution of vibrations over this length: \(u_r, v_{r'} \approx u_R, v_R\) for \(|r-R| \sim l_{SV}\). Taking advantage of this fact one can sum over the volume \(\delta V(R) \sim l_{SV}^3\) in the vicinity of \(R\) in the Hamiltonian (4):
\[
\sum_{r \in \delta V(R)} \left[ \frac{1}{2} \mu_0 \left( \frac{du_r}{dt} \right)^2 + \frac{1}{2} \Phi_0 u_r^2 \right]
- \left[ \frac{1}{2} \mu_0 \left( \frac{du_R}{dt} \right)^2 + \frac{1}{2} \Phi_0 u_R^2 \right] n_0[1 - \rho(R)] \delta V(R),
\]
where we assume that the change in lattice constants of two end crystals is negligible, \(a = n_0^{1/3}\), and \(\rho(R) = n(R)/n_0\). Thus the Hamiltonian (4) can be rewritten in the continuous medium approximation as
\[
H = n_0 \int \left[ \left[ 1 - \rho(R) \right] \mu_0 \left( \frac{du_R}{dt} \right)^2 + \frac{1}{2} \Phi_0 u_R^2 \right] n_0(1 - \rho(R)) \delta V(R),
\]
where the change in lattice constants of two end crystals is negligible, \(a = n_0^{1/3}\), and \(\rho(R) = n(R)/n_0\). Thus the Hamiltonian (4) can be rewritten in the continuous medium approximation as
\[
H = \frac{n_0}{2} \int \left\{ [1 - \rho(R)] \mu_0 u_R^2 + [1 - \rho(R)] \Phi_0 u_R^2 \right\}
+ \rho(R) \mu_1 v_R^2 + \rho(R) \Phi_1 v_R^2 dV.
\]
The ion polarization at \(R\) is
\[
P_{\text{ion}}(R) = \frac{1}{\delta V(R)} \left( \sum_{r \in \delta V(R)} q u_R + \sum_{r' \in \delta V(R)} q v_{r'} \right)
- \left[ 1 - \rho(R) \right] q n_0 u_R + \rho(R) q n_0 v_R,
\]
where we assume for simplicity the same effective charges for both oscillators, and again use the fact that \(l_{SV} \ll l_0\) and consequently \(u_r, v_{r'}\) do not change significantly over such distances. The interaction of the polarization with the electromagnetic field gives rise to an additional term in the Hamiltonian:
\[
U_{\text{int}} = \int P \cdot E dV = n_0 \int \left\{ [1 - \rho(R)] u_R + \rho(R) v_R \right\} \cdot E dV.
\]
Combining Eqs. (7) and (9) and writing out the resulting Hamilton equations for \(u_R, v_R\), one obtains
\[
\mu_0 \ddot{u}_R = \Phi_0 u_R + qE, \quad \mu_1 \ddot{v}_R = \Phi_1 v_R + qE.
\]
These equations of motion should be accompanied by the Maxwell equation for the electromagnetic field in the medium,
\[
\nabla \times \nabla \times E = \frac{1}{c^2} \frac{d^2(E + 4\pi P_{\text{ion}} + 4\pi P_{\text{el}})}{dt^2},
\]
where the last term describes electronic contribution to the polarization that determines the high-frequency value of the dielectric parameter. Another effect to be taken into account is that the local electric field on atoms that induces polarization is different from the macroscopic field entering the Maxwell equations. The effective local field in a high-symmetry crystal can be written in the form
\[
E_{\text{loc}} = E + \frac{4\pi}{3}(P_{\text{ion}} + P_{\text{el}}),
\]
Substituting the effective local field into Eq. (10) and total polarization of the volume \(P = P_{\text{ion}} + P_{\text{el}}\) into Eq. (11), one obtains the closed system of equations of mechanical coor-
dinites, the polarization and the electric field. Equations (10), (11), (12), and (13) formally resemble equations of the MREI model used in many papers. There are, however, important differences. First, the concentration parameter \( p(\mathbf{r}) \) entering our equations is still a random function of coordinates. The statistics of this parameter, however, is significantly different from the original statistics of the microscopic distribution of impurities. The spatial inhomogeneity of the continuous function \( p(\mathbf{r}) \) reflects the fluctuations in the number of impurities in the macroscopic volume, \( 8V \), which are significantly reduced as compared to the fluctuations in the original microscopic distribution of impure atoms. More detailed consideration of the statistical properties of \( p(\mathbf{r}) \) will be presented in Sec. VI. Second, the derivation of Eqs. (10), (11), (12), and (13) is explicitly based upon existence of a macroscopic length scale—the localization length of the local polaritons. A similar procedure cannot be applied to the regular phonon states, because the localization length of the local phonon states is usually microscopic. Finally, the requirements that the impurity-induced oscillator have its frequency within requirements that the impurity-induced oscillator have its frequency within

\[
\left( 1 - \frac{1}{3} \frac{d_{0}^{2}}{\Omega_{0}^{2} - \omega^{2}} + p \frac{d_{1}^{2}}{\Omega_{1}^{2} - \omega^{2}} \right)
\]

In this equation, \( \epsilon(\omega) \) denotes the effective dielectric function of the mixed polar crystal \( AB_{1-p}C_{p} \),

\[
\epsilon(\omega) = \epsilon_{\infty} - \frac{\epsilon_{\infty} + 2}{3 \epsilon_{\infty} \mu_{0,1}} \frac{q^{2}}{\Omega_{0,1}^{2} - \omega^{2}}.
\]

where \( \Omega_{0,1}^{2} = (\Phi_{0,1}/\mu_{0,1})^{1/2} \) are the lattice eigenfrequencies and the parameters

\[
d_{0,1} = 4 \pi \frac{\epsilon_{\infty} + 2}{3 \epsilon_{\infty}} \frac{q^{2}}{\mu_{0,1}}
\]

determine the width of the polariton gaps of the end crystals. We assume that the high-frequency dielectric constant does not depend upon the concentration. \( \epsilon_{\infty} \) can be expressed in terms of polarizability \( \alpha_{\infty} \) as

\[
\epsilon_{\infty} = 1 + \frac{4 \pi \alpha_{\infty} n_{0}}{1 - \frac{4 \pi}{3} \alpha_{\infty} n_{0}}.
\]

From Eq. (14) one can separate the transverse and longitudinal modes as

\[
k^{2}E_{\omega}^{\perp} = \frac{\omega^{2}}{c^{2}} \epsilon(\omega)E_{\omega}^{\perp},
\]

\[
e(\omega)E_{\omega}^{\perp} = 0.
\]

A similar expression for the dielectric function was obtained previously in Ref. 10 within the MREI model. Nobody, however, assumed before that the \( \Omega_{1} \) lies within the restrahlen of the host. It is only the concept of local polaritons that justifies using this dielectric function in the case when the frequency of the defect oscillator falls into the polariton band gap. Comparing Eq. (15) with the respective expression of our earlier work on the 1D model, Ref. 34, one can see that Eq. (15) is a zero-order approximation in a series expansion in terms of the small parameter \( \| l_{0} \|^{-1} \). In Sec. VI of this paper we shall demonstrate this fact implicitly.

III. LONG-LIVING QUASISTATIONARY LOCAL POLARITON STATES IN RESTRAHLEN BAND

The model developed in the previous section is implicitly based upon the assumption about the existence of local states with frequencies within the restrahlen. In this section we shall provide additional justifications for this assumption.

In the original papers\(^{25,26}\) where local polaritons were introduced, it was assumed that within the restrahlen there is a genuine spectral gap with the phonon DOS being equal to zero over some frequency region. Such situations are, indeed, possible in some crystals (GaP, ZnS, CuBr, etc.\(^{37}\)). It is more common, however, that the restrahlen is filled with LO phonons, which are linearly coupled to local polaritons. This coupling results in the “phonon radiative decay” of the local polaritons (compare to the radiative decay of local phonons due to the coupling to light). It is important to emphasize, however, that the electromagnetic component of the local polaritons remains localized even when there is leakage through the phonon component. The phonon radiative decay broadening of local polaritons (and the respective lifetime) is determined by the density of LO phonon states within the restrahlen. In some cases this DOS is large enough to suppress the local polaritons. At the same time, there exists a broad range of materials (for instance, NaF, NaBr, RbF, etc.) that have a relatively low DOS inside the restrahlen, so one could expect that local polaritons can survive the presence of LO phonons and affect significantly the transport properties of the system. In fact, the local states inside the restrahlen observed in Ref. 21 resided in the frequency region with a rather large DOS, and still could be observed both in reflection and Raman spectra.

Since the fundamental assumptions incorporated into the model presented in this paper are based upon existence of long-living local polaritons, it is of great importance to study their lifetime in realistic polar crystals. Our consideration of the question about the “phonon radiative decay” time of the local polaritons is based upon results of Ref. 27. It was shown that the frequency of the local polariton is determined by

\[
l = - \frac{\delta m}{m} \int \frac{\rho_{1}(z) + 2 \rho_{2}(z)}{\omega^{2} - z^{2}} dz,
\]

where \( m = (m_{+} + m_{-})/(m_{+} + m_{-}) \). \( m_{+} \) and \( m_{-} \) are the masses of positive and negative ion sublattices, respectively, \( \delta m \) is the difference between masses of the defect and the
host atom replaced by the defect, and \( \rho \) and \( \rho \) are densities of states of LO and TO phonons, respectively. If the LO DOS differs from zero in the \( \text{reststrahlen} \) region where we expect the local state to reside, the respective integral in Eq. (18) acquires an imaginary part equal to \( i \pi \omega \rho \). For a light impurity (\( \delta m < 0 \)) this equation would have a real solution \( \omega \) if \( \rho (\omega) = 0 \).\(^{21} \) The presence of LO modes makes the solution complex valued, \( \omega = \omega - i \gamma \). The phonon component becomes delocalized, although the electromagnetic component remains localized.

If, as we assume, \( \gamma / \omega < 1 \), then \( \omega \) can be considered to be the solution of Eq. (18) with the imaginary part dropped and \( \gamma \) can be found as the first order correction to it,

\[
\frac{\gamma}{\omega_1} = \frac{\pi \omega_1 \rho(\omega_1)}{2 \omega^2 R(\omega_1) + \omega_1 \frac{dR(\omega_1)}{d\omega_1}},
\]

where \( R(\omega_1) \) is a principal value of the integral entering Eq. (18). The derivative of \( R(\omega_1) \) can be estimated using the explicit form of this function as \( \omega_1 dR(\omega_1)/d\omega = 2R(\omega_1) + \mathcal{O}(\omega_1/c)^2 \). Finally one obtains

\[
\frac{\gamma}{\omega_1} = \frac{\pi}{4} \left( -\delta m \right) \frac{m_-}{m_+} \omega_1 \rho(\omega_1).
\]

It follows from Eq. (20) that there are three factors affecting the lifetime of the polariton states. First of all, it is the density of LO phonon states \( \omega_1 \rho(\omega_1) \) in the \( \text{reststrahlen} \). As mentioned above, due to the strong dispersion of the LO branch, the density of states in many alkali halides\(^{37} \) between \( \Omega^{(\text{TO})}(0) \) and \( \Omega^{(\text{LO})}(0) \) can be less than 10% of the maximum DOS at TO frequency. Unfortunately, the experimental data on the phonon DOS known to us do not provide its value in absolute units, and in order to obtain an estimate for this quantity in the region of interest, we have to rely upon some assumptions. To this end we use the Debye model for the DOS of the \textit{acoustic} phonons in order to establish a scale for the experimental results listed in Ref. 37. This choice seems reasonable because the Debye model gives a fairly good description of the low-temperature thermodynamic properties of crystals, and the parameters of the model for most of the crystals of interest are established with good accuracy. Having the scale for the phonon DOS, one can assess the numerical value of the dimensionless quantity \( \omega_1 \rho(\omega_1) \). Our estimates show that this parameter in the spectral region of interest can take values between 0.2 and 0.6 in different systems.

The next factor affecting the lifetime of the local polaritons is the defect parameter \( \delta m \). This parameter cannot be assumed to be too small since we would like to have our local state farther away from the TO boundary of the \( \text{reststrahlen} \), and as was shown in Refs. 25 and 27, the frequency of the local polariton state moves deeper into the \( \text{reststrahlen} \) with increase of the defect strength \( \delta m \). At the same time, it is clear that the factor \( -\delta m (m_- + m_+ \) is at least less than unity. The third factor \( m_- / m + (or m_+ / m \) when the negative atom is replaced), can be as small as 0.2 for RbF or even 0.06 in the case of LiI. Combining all terms we find that in a number of crystals (NaBr, NaCl, RbF, etc.) the dissipation of the local polariton state is rather small, \( \gamma / \omega_1 < 0.1 \). In fact, it appears to be of the same order of magnitude as anharmonic absorption, and later in the paper we will introduce both these relaxation channels phenomenologically using just one parameter of relaxation. The presented estimates show that local polaritons can actually survive even in materials with the \( \text{reststrahlen} \) filled with LO phonons, and provide, therefore, a foundation for the model presented in the previous section. Now we can start discussing the results following from this model.

### IV. Impurity-Induced Polariton Band in Mixed Polar Crystals

#### A. Dispersion Laws of Impurity Polaritons

In this section we discuss properties of polariton excitations in our system neglecting relaxations. Effects of the dissipation will be incorporated in our treatment of reflection spectra in the next section. The dispersion of the transverse polaritons is determined by the equation

\[
k = \sqrt{\varepsilon(\omega) \frac{\omega^2}{c^2}},
\]

while the longitudinal excitations obey the equation \( \epsilon(\omega) = 0 \) and are dispersionless within the present model. The sign of \( \epsilon(\omega) \) determines the structure of the spectrum. The bands of propagating electromagnetic waves coupled to the lattice vibration appear at frequencies where \( \epsilon(\omega) \) is positive, and band gaps arise where the dielectric function (15) is negative. The change of the sign of \( \epsilon(\omega) \) occurs when \( \epsilon(\omega) = 0 \) and when \( 1/\epsilon(\omega) = 0 \). In the electrostatic approximation, the first of these conditions determines the LO frequencies \( \omega_{\text{LO}} \), while the second gives the frequencies of TO phonon modes, \( \omega_{\text{TO}} \). With retardation taken into account for regular polaritons in pure crystals, the latter becomes the short-wave limit of the lower transverse polariton branch, while \( \omega_{\text{LO}} \) determines the \( k = 0 \) frequency of the upper polariton transversal branch degenerate with the longitudinal phonon mode. As we shall see in this section for the impurity-induced polaritons, the interpretation of the boundary frequencies is quite different.

In the absence of defects, \( p = 0 \), the \( \text{reststrahlen} \) polariton band stretches between transverse,

\[
\Omega^{(\text{TO})}_0 = \Omega^{(\text{LO})}_0 = \frac{\omega^2}{3} d^2_0,
\]

and longitudinal,

\[
\Omega^{(\text{LO})}_0 = \Omega^{(\text{LO})}_0 = \frac{\omega^2}{3} d^2_0,
\]

frequencies. Introducing defects with \( \Omega^{(\text{LO})}_0 < \Omega^{(\text{LO})}_0 \) in the system with concentrations significant enough to satisfy the condition \( l/l_0 \ll 1 \) yet still small in the sense that \( p \ll 1 \), one can rewrite Eq. (15) in the linear in \( p \) approximation:
\[ \varepsilon(\omega) = \varepsilon_0 \left( \frac{(\Omega_0^{(LO)} - \omega)^2 - \omega^2}{\Omega_0^{(TO)} - \omega^2} \right), \]

(22)

It can be directly seen from this expression that the impurities give rise to the band of propagating excitations inside the restrahl of the original crystal, with boundaries given by

\[ \omega_n^2 = \Omega_1^2 - \omega \left( \frac{2}{3}d_0'2(\Omega_0^{(LO)} - \omega^2) - \frac{2}{3}d_1'(\Omega_0^{(TO)} - \omega^2) \right), \]

\[ \omega_i^2 = \Omega_1^2 + \omega \left( \frac{\varepsilon_0}{3}d_1'2(\omega^2 - \Omega_0^2) - \frac{\varepsilon_0}{3}d_0'(\omega^2 - \Omega_1^2) \right). \]

(23)

The width of the band (in terms of squared frequencies) is linearly proportional to the concentration

\[ \Delta_{imp}^2 = d_1'(\Omega_1^2 - \Omega_0^2) \frac{\varepsilon_0 + 2}{\Omega_0^{(LO)} - \Omega_1^2}(\Omega_1^2 - \Omega_0^2) > 0, \]

(24)

provided that the impurity frequency \( \Omega_1 \) is not too close to \( \Omega_0^{(LO)} \) such that

\[ \Omega_0^{(LO)} - \Omega_1^2 < \frac{2}{3}d_0d_1\sqrt{p}. \]

(25)

The lower band boundary, given by Eq. (23), corresponds to a dispersionless longitudinal polariton branch

\[ \omega_i^{(LO)}(k) = \omega_{il}, \]

(26)

while the upper one is the short-wave limit of the branch of transversal excitations. An approximate dispersion law for these excitations can be obtained from Eq. (21) if one substitutes \( \omega = \Omega_1 \) everywhere except in terms containing impurity polariton band boundaries:

\[ \omega_i^{(TO)}(k) = \omega_{il} + \delta_{imp} \frac{k^2l_0^2}{1 + k^2l_0^2}. \]

(27)

We introduced here a new parameter \( \delta_{imp} \), characterizing the width of the impurity polariton band in terms of frequencies themselves: \( \delta_{imp} = \Delta_{imp}^2/2\omega_0 \). Parameter \( l_0 \) in this equation is the localization length of a single local polariton with the frequency equal to \( \Omega_1 \):

\[ l_0 = \frac{\Omega_1^2}{\varepsilon_0 \left( \frac{\Omega_0^{(LO)} - \Omega_1^2}{\Omega_0^{(TO)} - \Omega_1^2} \right)^{1/2}}. \]

(28)

Excitations described by Eqs. (26) and (27) demonstrate a number of peculiarities. First, one can note that the mutual positions of longitudinal and transverse modes are reversed compared to the regular polaritons: the longitudinal mode has lower frequency than the transverse one. However, if one takes the original polariton branches of the host crystal into consideration, the normal sequence of transverse and longitudinal modes is restored: the host transverse polariton branch is followed by the impurity longitudinal mode, which is followed by the impurity transverse mode. The last modes in the sequence are the LO mode and upper transverse polariton branch of the host. Second, the single transverse impurity polariton mode combines properties of lower and upper regular polariton modes. Indeed, at \( k = 0 \) this mode becomes degenerate with the impurity longitudinal mode akin to the upper branch of regular polaritons. At the same time the short-wave limit \( k \to \infty \) of the same mode corresponds to the TO frequency of the electrostatic approximation, and sets the upper boundary of the propagating band similar to the regular lower polariton branch.

The dispersion curves of the transverse excitations, obtained from the general equation (21) for several concentrations, are shown in Fig. 1. Similar dispersions were observed experimentally by means of Raman spectroscopy in the mixed crystal Ga/InP in Ref. 28. Originally, the interpretation of these observations was based upon a phenomenological dielectric function with multiple resonances, while it seems clear now that they provide solid support for the concept of impurity polaritons.

From the dispersion law described by Eq. (27) one can obtain an expression for the group velocity of the respective excitations,

\[ \frac{v_g(\omega)}{c} = \frac{l_0}{\lambda} \frac{(\omega^2 - \omega_{il}^2)^{1/2}(\omega_{in}^2 - \omega^2)^{3/2}}{\omega^2 \Delta_{imp}^2}. \]

(29)
which reaches its maximum value of
\[
\frac{v_g(\omega_{max})}{c} = \frac{l_0}{\lambda} \frac{3}{8} \frac{\delta_{imp}}{\omega} \delta_{imp}
\]  
(30)
at
\[
\omega_{\text{max}}^2 = \omega_{il}^2 + 1/4\Delta_{imp}^2
\]
The group velocity is linear in concentration and is significantly smaller than the speed of light in vacuum. This is an interesting result, showing that propagation of light through mixed crystals can be significantly (and controllably) slowed down. The smallness of the group velocity is also reflected in the flatness of the dispersion curves presented in Fig. 1, as well as in experimental results of Ref. 28.

The density of polariton states in the impurity band (IPDOS) can also be obtained from the dispersion equation, Eq. (27):
\[
D(\omega) = \frac{1}{2 \pi^2 l_0^3} \omega \Delta_{imp}^2 \left( \frac{\omega^2 - \omega_{il}^2}{\omega_{il}^2 - \omega_{imp}^2} \right)^{1/2}
\]  
(31)
At the low-frequency boundary of the band this DOS reproduces the singular behavior characteristics for the lower polariton band of pure polar crystals, while at the high-frequency edge it resembles the behavior of the regular upper polariton band. At the center of the impurity band the IPDOS can be expressed in compact form in terms of the localization length \(l_0\) and the linear width of the band \(\delta_{imp}\):
\[
D(\omega_c) = \frac{1}{\pi^2 l_0^3 \delta_{imp}}
\]  
(32)
The dependence of the IPDOS upon concentration is different at the edges of the band and at the center. The boundary of the IPDOS depends linearly upon \(\rho\), and tends to zero when \(\rho\) decreases. At the band center the IPDOS is inversely proportional to \(\rho\), and goes to infinity when \(\rho \to 0\). Such a behavior has a simple meaning—it describes the collapse of the band into a single local state with an infinite density.

The linear in concentration approximation for the impurity band fails when the defect frequency \(\Omega_1\) falls close to the band edge, \(\Omega_0^{(LO)}\), of the pure crystal, so that Eq. (25) does not hold anymore. In this case, one can obtain approximate expressions for characteristics of the impurity band using an expansion of Eq. (15) in powers of \(\Omega_0^{(LO)} - \Omega_1\). The zeroth order in this parameter leads to a square root dependence of the band width upon concentration:
\[
\Delta_{imp}^2 \approx \frac{2d_0d_1}{3} \sqrt{\rho}.
\]  
(33)
It is interesting to note that this situation was probably observed in the one-two-mode mixed crystal Ga/InAs. In that system at small concentration of In the frequencies \(\omega_{il}(\rho)\) and \(\Omega_0^{(LO)}(\rho)\) could not be fit with linear in \(\rho\) expressions, while \(\omega_{il}(\rho)\) remained linear. This is exactly what our model predicts in the case when \(\Omega_1\) approaches \(\Omega_0^{(LO)}\):
\[
\omega_{\text{il}}^2 - \Omega_0^{(LO)2} = \frac{2d_0d_1}{3} \sqrt{\rho},
\]
\[
\omega_{il}^2 = \Omega_0^{(LO)2} + \frac{2}{3} \frac{\varepsilon_e}{\varepsilon_e} d_0^2 p
\]  
(34)
\[
\Omega_0^{(LO)2} = \Omega_0^{(LO)2} + \frac{2d_0d_1}{3} \sqrt{\rho}.
\]
The DOS in this case is given by the expression
\[
D(\omega) \approx \frac{\omega_0^{(LO)2}}{2 \pi^2 \lambda^3} \left( \frac{\omega^2 - \omega_{ill}^2}{\omega_{ill}^2 - \omega_{imp}^2} \right)^{3/2} \left( \frac{\delta_{imp}}{\Omega_0^{(LO)}} \right)^{1/2}
\]  
(35)
which has the regular polariton behavior at the boundaries similar to one described by Eq. (31), but with a different prefactor. This prefactor significantly modifies the IPDOS at the center of the band,
\[
D(\omega_c) \approx \frac{3^{1/2} \pi}{2 \pi^2 \lambda^3} \frac{1}{\delta_{imp}^2} \left( \frac{\Omega_0^{(LO)2}}{3 \varepsilon_e} d_0^2 \right)^{3/2} \left( \frac{\delta_{imp}}{\Omega_0^{(LO)}} \right)^{1/2}
\]  
(36)
which is now proportional to \(\rho^{1/4}\). At very small concentrations, however, this dependence will change over to the one described by Eq. (32) as the condition given by Eq. (25) becomes valid again.

The dispersion relation for the impurity TO branch becomes in this limit more complicated,
\[
\omega_i^{(TO)2}(\mathbf{k}) = \omega_{il}^2 + \frac{1}{2} \left[ 2 \Delta_{imp}^2 + k^2 \lambda^2 \left( \frac{2 + \varepsilon_e}{3 \varepsilon_e} d_0^2 \right) \right] - \sqrt{k^2 \lambda^4 \left( \frac{2 + \varepsilon_e}{3 \varepsilon_e} d_0^2 \right)^2 + 4 \Delta_{imp}^4},
\]  
(37)
while it still has the same limits \(\omega_i^{(TO)2}(\mathbf{k}) = \omega_{il}^2 - k^2\) for small \(k\) and \(\omega_i^{(TO)2}(\mathbf{k}) = \omega_{il}\) for large \(k\). The crossover parameter, however, is no longer the localization length \(l_0\), but rather a vacuum wavelength of light at frequency \(\Omega^{(LO)}: \lambda = \Omega^{(LO)}/c\). The effective mass of the branch at the long-wave boundary is much larger than in Eq. (27) and does not depend upon concentration. The LO frequency of the impurity polariton branch does not show any dispersion,
\[
\omega_i^{(LO)}(\mathbf{k}) = \omega_{il},
\]  
(38)
similar to the situation considered before; for \(k^2 > \Delta_{imp}^2/(\lambda^2 d_0^2)\) two branches of the impurity band run almost parallel, showing a very small dispersion.

The group velocity at the boundaries approaches zero at the same rate as before, but at the center of the band, the dependence upon the concentration is different:
\[
\frac{v_g(\omega_{max})}{c} \approx \frac{3^{1/2} \pi}{2 \pi^2 \lambda^3} \left( \frac{\omega_{il}^2}{\Omega_0^{(LO)2}} \right)^{1/2} \left( \frac{\delta_{imp}}{\Omega_0^{(LO)}} \right)^{1/2}
\]  
(39)
It is much larger than the respective quantity, when the linear in $p$ expansion is valid, and increases much faster $\sim p^{1/4}$ with the concentration.

**B. Evolution of the polariton impurity band boundaries with composition parameter**

Further increase of concentration leads to more complicated dependences of the bandwidth, DOS, etc., on concentration and other parameters. In this subsection we shall focus upon concentration dependences of band boundaries, which are experimentally identified with TO and LO phonon frequencies of the electrostatic approximation. These dependences were extensively studied experimentally, as we have already discussed in the Introduction, and the objective of this subsection is to show how the concept of impurity-induced polaritons provides a simple and physically transparent explanation for the weak mode in one-mode crystals and for one-two-mode behavior. Analysis of the poles and zeros of the dielectric function (15) shows that the evolution of the modes with concentration is determined in our model by a relative position of the characteristic frequencies $\Omega_0$, $\Omega_1$, $\Omega_0^{TO}$, $\Omega_0^{LO}$, $\Omega_1^{TO}$, and $\Omega_1^{LO}$. The first pair of these frequencies are the initial phonon frequency of the host crystal and the local polariton mode of the impurity, respectively. The electrostatic interaction and the local field change $\Omega_0$ to actual TO and LO host frequencies $\Omega_0^{TO}$ and $\Omega_0^{LO}$. The last pair of frequencies, $\Omega_1^{TO}$ and $\Omega_1^{LO}$, corresponds to TO and LO modes of the crystal made up of impurity atoms only. The local field, which induces the difference between initial frequencies $\Omega_{0,1}$ and actual TO, LO frequencies, is very important. In pure crystals (made of initial host atoms or initial impurities) the relation between $\Omega_{0,1}$ and $\Omega_{0,1}^{TO}$ is

$$2 + \varepsilon_{\infty} \Omega_{0,1}^2 = 2 \Omega_{0,1}^{TO} + \varepsilon_{\infty} \Omega_{0,1}^{LO},$$

was originally derived in Ref. 36, and its importance was stressed in Ref. 20. The same relation exists in our model as well.

At small concentrations $p < 1$, the initial impurity-related frequency $\Omega_1$ is not renormalized by local-field corrections because this renormalization is caused by the interaction with like atoms. At small concentrations an impurity atom is mostly surrounded by atoms of the host crystal, and renormalization does not occur. Similarly, for $1 < p < 1$, the local field turns $\Omega_1$ into $\Omega_1^{TO}$ and $\Omega_1^{LO}$, while leaving $\Omega_0$ unchanged as a characteristic frequency of former host atoms. Equations (23) demonstrate that at small $p$ the polariton impurity band inside the restrahlen of the host arises when $\Omega_1$ falls inside the host restrahlen; see Figs. 2(a), 2(c), 2(d). For $1 < p < 1$, host and impurity atoms exchange their roles. In this case, should $\Omega_0$ fall in between $\Omega_1^{TO}$ and $\Omega_1^{LO}$, it gives rise to the impurity polariton band induced now by the “host” atoms. Hence, if both $\Omega_0^{TO} < \Omega_1 < \Omega_0^{LO}$ and $\Omega_1^{TO} < \Omega_0 < \Omega_1^{LO}$ conditions are satisfied at the same time, then the polariton impurity bands exist in both $p \to 0$ and $p \to 1$ limits. This situation is shown in Fig. 2(a). This is one-mode behavior with a “weak” mode. One observes strong TO and LO modes of the original crystal smoothly evolving into those of the end crystal, while inside the restrahlen a weak additional TO and LO impurity polariton modes arise with vanishing TO-LO splitting at both ends of the impurity range. This peculiar behavior occurs due to the interplay between the external electric field and the polarization, affecting the local field (12). Experimentally, this type might be realized in materials where the polariton gap is sufficiently wide, which may be the case for many alkali halides. However, because of the weakness of these modes, they are vulnerable to any kind of dissipation, as we shall see in the next section. This fact can explain the absence of the defect mode in “classical” (no weak mode inside the restrahlen) one-mode mixed crystals. At the same time, we can relate the features presented in Fig. 2(a) to the weak mode observed in the Ba/SrF$_2$, Ca/SrF$_2$, and some other one-mode crystals (see Discussion). Previously, in order to reproduce the weak feature observed in spectra of these crystals, one had to use models with tens of fitting parameters (see Refs. 1 and 2 and references therein). The concept of the polariton impurity band, presented here, gives a transparent and quite general explanation of this type of spectra.

If the polariton impurity band exists only in one limit, for example, $\Omega_0^{TO} < \Omega_1 < \Omega_0^{LO}$ for small $p$, but $\Omega_0$ lies outside the interval $(\Omega_1^{TO}, \Omega_1^{LO})$ when $p \to 1$, our model predicts the one-two-mode behavior [Figs. 2(c) and 2(d)]. Two types of one-two-mode mixed crystals appear depending upon whether $\Omega_0$ falls below the interval $(\Omega_1^{TO}, \Omega_1^{LO})$ [Fig. 2(c)] or above it [Fig. 2(d)]. In the first case, the lower mode weakens with concentration, while in the second case, the upper one does. At $p = 0$ the situation remains qualitatively the same as in the one-mode case. At $p \to 1$, the splitting

![FIG. 2. Four different types of the evolution of the transverse (solid lines) and longitudinal (dashed lines) optic mode frequencies of the mixed polar crystals with composition parameter. The leftmost and rightmost composition parameters correspond to pure end crystals.](image-url)
between new modes does not vanish, and they form the new
restrahlen of the pure crystal at $p = 1$. Strictly speaking, in
this limit we cannot justify our model, since there are no
local modes at this end of the concentration range. Qualita-
tively, however, we can still explain the one-two-mode be-
havior as a result of the presence of the local polaritons at
small $p$ and the absence of them at $p$ close to 1. If in neither
limit the impurity polariton band appears inside the gap
$[\Omega_1, \Omega_2 - \Omega_0(LO)]$ and $[\Omega_0, \Omega_2 - \Omega_0(LO)]$, our
approach is not applicable at any concentration, although
the absence of the impurity bands at end concentrations, in our
opinion, suggests two-mode behavior [Fig. 2(b)].

V. TRANSMITTANCE AND REFLECTANCE OF
ELECTROMAGNETIC WAVES FROM MIXED POLAR
CRYSTALS

Having obtained the effective dielectric function of the
mixed polar crystal, one can study their reflection and trans-
mission spectra for a number of different geometries. Among
them, the normal reflection spectrum from a semi-infinite
sample and normal transmission and reflection from a slab of
finite dimensions are of particular interest since they are a
primary source of information about optical properties of
crystals studied in numerous experiments.\textsuperscript{1,2}

A. Reflection spectrum of semi-infinite mixed polar crystals

In this section we phenomenologically incorporate damp-
ing in the dielectric function (15) substituting $\omega + i\gamma$ for $\omega$
in the resonance terms of Eq. (15). As we already discussed it is
sufficient for our qualitative purposes to assume that the
dumping parameter is the same for both host- and impurity-
related modes. We can note, however, that as numerical cal-
culations demonstrated, properties of each mode are deter-
dined primarily by its own relaxation parameters, and
effects due to dumping in the other subsystem are usually
negligible. This means that, in principle, it is possible to
experimentally determine relaxation parameters for each of
the participating oscillators independently. For our calcula-
tions we chose the value of $\gamma$ such that $\gamma/\Omega_0 < 0.1$, which
is a rather conservative estimate. For typical polar crystals the
relaxation parameter ranges from $\gamma/\Omega_0$ less than 0.01–0.1,
and since the relaxation in the impurity subsystem due to
coupling to LO phonons inside the restrahlen was estimated
in Sec. III as less than 0.1, our choice for this parameter
seems quite reasonable.

After accounting for the dumping, the effective dielectric
function (15) becomes

$$
\epsilon(\omega) = \epsilon_{\infty} \left( 1 + \frac{2}{3} \frac{(1 - p) \frac{d_0}{\Omega_0^2 - \omega^2 + 2i\gamma\omega} + p \frac{d_1}{\Omega_1^2 - \omega^2 + 2i\gamma\omega}}{1 - \frac{\epsilon_{\infty}}{\epsilon_{\infty}} \left( 1 - p \right) \frac{d_0}{\Omega_0^2 - \omega^2 + 2i\gamma\omega} + p \frac{d_1}{\Omega_1^2 - \omega^2 + 2i\gamma\omega}} \right).$$

(41)

For normal incidence, the reflection coefficient from the
semi-infinite crystal is equal to

$$
R(\omega) = \left| \frac{1 - \epsilon^{1/2}(\omega)}{1 + \epsilon^{1/2}(\omega)} \right|^2. \quad (42)
$$

In the absence of dumping, the original dielectric function
(15) has a peculiar property, which is specific only for the
model with the impurity polariton band within the restrahlen
of the host. Inside this band, the dielectric function goes
from zero to infinity, and hence, necessarily passes through
unity. At a frequency where this happens, the reflection co-
efficient must become zero, since for this frequency the me-
dium becomes transparent. At small concentrations, this
frequency $\omega_{T-1}$ is determined by the equation

$$
\omega_{T-1}^2 = \frac{\lambda_0^2 \omega_{11}^2 + l_0^2 \omega_{11}^2}{\lambda_1^2 + l_0^2}, \quad (43)
$$

and since $l_0 \leq \lambda_1 = \Omega_1/c$, it lies slightly off the center of the
band, closer to $\omega_{11}$. When relaxation is accounted for, the
zero of reflection is not reached, but the reflection still can
have a minimum at a certain frequency. The magnitude of
reflection at this frequency is determined by relaxation, and
can be used for independent measurements of the latter.

We used typical values of the parameters in Eq. (41) to
plot normal reflection spectra for semi-infinite mixed crys-
tals. The optic frequencies $\gamma(\Omega_0,LO)$ of such systems are
about a few hundred cm$^{-1}$; the widths of their polariton gaps
range from $\sim 10\%$ for III/V group polar crystals up to
$\sim 30\%$ for alkali halides. The high limit dielectric constant
lies within the range of $\sim 3–5$. Figure 3 presents three
graphs corresponding to three different types of spectra,
which can be described within the model of impurity polar-
tons. Each graph shows curves obtained for $p = 0\%, 25\%,$
$50\%, 75\%, 100\%$.

Figure 3(a) depicts the reflection spectrum of the one-
mode crystal. As can be seen from the plot, for all concen-
trations there is one dominating absorption band. Neverthe-
less, for intermediate concentrations one can notice a weak
mode inside this band. This mode is quite weak, in accor-
dance with the discussion of the previous section, and can be
smoothed away by the absorption. Whether this mode will be
observed in a concrete material depends upon the interplay
of several parameters. At the same time, since we have used
realistic values of parameters characteristic for the one-mode
group of mixed crystals, our calculations show that the impurity polaritons can indeed be used to explain this feature of one-mode type spectra. Reflection spectra corresponding to one-two-mode behavior are shown in Figs. 3~b  and 3~c. There are two types of such spectra, which are very much alike. At small $p$, the spectra look akin to the one-mode type, but with an increase of the concentration two modes appearing, with the one-mode growing stronger and the second one diminishing. Spectra 3~b and 3~c correspond to phase diagrams shown in Figs. 2~c and 2~d, respectively. It is seen that the presence of dumping does not prevent one-two-mode behavior predicted by our model to be observed in reflectance experiments.

B. Slab of finite dimensions

In this subsection we consider normal reflection and transmission spectra of a mixed-crystal slab. The width of the slab is assumed to be much greater than the average distance between the impurities, so that our averaging procedure can be applied. At the same time we do not consider samples thicker than several wavelengths, so that the dumping does not suppress transmission completely.

The transmission coefficient through a slab of width $L$ for normal incidence is given by

$$T(\omega) = \left| 1 - \frac{(\epsilon^{1/2} - 1)^2 \cos \left( \frac{\omega}{c} \epsilon^{1/2} L \right)}{2 \epsilon^{1/2} \cos \left( \frac{\omega}{c} \epsilon^{1/2} L \right) - i(\epsilon + 1) \sin \left( \frac{\omega}{c} \epsilon^{1/2} L \right)} \right|^2.$$

In the absence of dumping, the transmission coefficient turns to unity when either $\epsilon = 1$ or $\omega \epsilon^{1/2} L / c = \pi (m + 1/2)$, where $m$ is an integer. The first case corresponds to the frequency determined by Eq. (43), when the medium becomes optically transparent, while the second condition corresponds to the usual geometrical resonances.

The width of geometrical resonances decreases as $1/\epsilon(\omega)$ with $\omega \sim \omega_{iu}$ because of the divergence of the dielectric function at this frequency. Figure 4 depicts the transmission spectrum of the 10% impure slab of the width $L = \lambda$ in the absence of absorption, where the lower plot shows the broader frequency interval covering the entire restrahlen of the host crystal. One can see the impurity-induced polariton band inside the forbidden gap at the frequency $\sim 180 \text{ cm}^{-1}$. The wide flat-top resonance at $181.7 \text{ cm}^{-1}$ corresponds to the frequency (43), where the dielectric function becomes 1, and all the other peaks represent geometrical resonances.
particularly regarding group velocities of these excitations. Measurements could also provide important information, particularly of the current paper. We believe that time-resolved evidence of the impurity-induced polariton band. It would be center positions. The maximum in the transmission is direct of defects was played by some Cu atoms occupying off-center positions. The maximum in the transmission is direct evidence of the impurity-induced polariton band. It would be of great interest to carry out transmission measurements for different groups of mixed crystals in order to verify predictions of the current paper. We believe that time-resolved measurements could also provide important information, particularly regarding group velocities of these excitations.

VI. SCATTERING IN THE IMPURITY-INDUCED POLARITON BAND

In previous sections we considered the optical properties of mixed polar crystals neglecting fluctuations of the concentration function \( p(\mathbf{r}) \). Results obtained for the one-dimensional model considered in our previous paper, Ref. 34, suggest that this approximation is a zero-order term in the series expansion in terms of the parameter \( l/l_0 \ll 1 \), where \( l \) is an average distance between defects and \( l_0 \) is the localization length of the single local polariton. In this section we take into account fluctuations of concentration in the first nonvanishing order in terms of the parameter \( \delta p = p(\mathbf{r}) - p \), and show that the actual small parameter of this approximation is indeed \( l/l_0 \ll 1 \). The main result of this section is to demonstrate that the scattering of impurity polaritons induced by concentration fluctuations is decreasing with an increase of the average concentration, and is actually negligible on the background of absorption. This fact provides a justification for the results obtained in the previous sections of the paper, where these fluctuations are neglected.

We shall employ the Green’s function formalism in order to calculate the scattering length of the impurity-induced polaritons due to concentration fluctuations. The Green’s function of the inhomogeneous dielectric medium can be presented as

\[
\frac{G_{\alpha\gamma}(0)}{G_{\alpha\beta}(0)}(\mathbf{r}, \mathbf{r}') - \frac{\omega^2}{c^2} \left( e(\omega, p(\mathbf{r})) - e(\omega, p) \right) \delta_{\alpha\gamma} G_{\beta\beta}(\mathbf{r}, \mathbf{r}')
= \delta_{\alpha\beta} \delta(\mathbf{r} - \mathbf{r}'),
\]

where \( G_{\alpha\beta}^{(0)}(0) \) is the Green’s function of the system with homogeneous dielectric function \( e(\omega, p) \) given by Eq. (15). This zeroth-order Green’s function can be written down in \( k \) space in terms of the projection operators \( \hat{e}_{\alpha\beta} = \delta_{\alpha\beta} - k_\alpha k_\beta / k^2 \) and \( \hat{e}_{\alpha\beta}^\parallel = k_\alpha k_\beta / k^2 \) as

\[
G_{\alpha\beta}^{(0)}(k) = \frac{\hat{e}_{\alpha\beta}^\perp - \hat{e}_{\alpha\beta}^\parallel}{k^2 - k_0^2},
\]

where \( k_0^2(\omega) = \omega^2 \epsilon(\omega) / c^2 \). Since we search for the leading corrections to the Greens’ function of the system, we expand \( e(\omega, p(\mathbf{r})) \) in terms of \( \delta p(\mathbf{r}) \) and keep only the linear term:

\[
e(\omega, p(\mathbf{r})) - e(\omega, p) = \frac{\omega^2}{c^2} \frac{\partial e(\omega, p + \delta p(\mathbf{r}))}{\partial \delta p(\mathbf{r})} \mid_{\delta p(\mathbf{r}) = 0} \cdot \delta p(\mathbf{r}) = \kappa^2(\omega, p) \cdot \delta p(\mathbf{r}).
\]

Statistical properties of the random function \( \delta p(\mathbf{r}) \) can be described in this approximation by its momenta up to second order:

\[
\langle \delta p(\mathbf{r}) \rangle = 0,
\]

\[
\langle \delta p(\mathbf{r}) \delta p(\mathbf{r}') \rangle = K(|\mathbf{r} - \mathbf{r}'|).
\]

The second-order correlator depends only upon the distance between two points in space, since the system is assumed to be homogeneous and isotropic on average. After standard transformations to the \( k \) representation one obtains

\[
G_{\alpha\beta}^{-1}(k) = (k^2 - k_0^2) \hat{e}_{\alpha\beta}^\perp - k_0^2 \hat{e}_{\alpha\beta}^\parallel
\]

\[
- \kappa^4 \int \frac{d^3 k'}{(2\pi)^3} \left( \frac{\hat{e}_{\alpha\beta}^\perp}{k'^2 - k_0^2} - \frac{\hat{e}_{\alpha\beta}^\parallel}{k_0^2} \right) S(k - k'),
\]

\[
= \left[ k^2 - k_0^2 - \Sigma_z(k) \right] \hat{e}_{\alpha\beta}^\perp - \left[ k_0^2 + \Sigma_z(k) \right] \hat{e}_{\alpha\beta}^\parallel,
\]

where \( S(k) \) is the Fourier transform of the correlator (47). The new Green’s function can be expressed using the transverse and longitudinal mass operators \( \Sigma_z(k), \Sigma_z(k) \):

![Image](https://via.placeholder.com/150)
The real parts of the mass operators determine the renormalization of the spectrum, and are neglected below. We shall only consider the imaginary parts of the transverse mass operators, which determine the scattering length (mean free path) of the transverse modes, and in the lowest approximation read as

\[ G_{\alpha \beta}(k) = \frac{\hat{e}_{\gamma}^\dagger}{k^2 - k_0^2 - \Sigma^\gamma(k)} - \frac{\hat{e}_{\gamma}^\dagger}{k^2 + \Sigma^\gamma(k)}. \]  

(49)

The expression for the integral scattering cross section depends on the properties of the former, while the latter requires a more elaborate treatment of disorder.

To assess the value of the scattering length inside the impurity band we pick the center of the defect band \( \omega_c^2 = (\omega_0^2 + \omega_D^2)/2 \). For small concentration one obtains

\[ l_s^{-1}(\omega_c) = \frac{\pi^{1/2}}{4} \frac{l_0^{1/2}}{\Omega_1(\Omega_1)} \alpha_1^2 \frac{1}{p}, \]

(56)

where

\[ \alpha_1 = \frac{\Omega_1^2 - \Omega_0^2}{4 \epsilon_x} \frac{(2 - \epsilon_x)d_{0}^2 + \Omega_1^2 - \Omega_0^2}{2 + \epsilon_x} \]

does not depend upon the concentration. In the last expression the anticipated small parameter \( l/l_0 \) has appeared raised to the third power. Taking into account typical values of this parameter for realistic mixed crystals, one can see that this scattering is completely negligible. One may also note that this scattering length increases with concentration, which has a simple physical explanation—the greater the concentration, the greater the overlap of individual local states, and the closer the system is to a uniform continuous medium.

For frequencies from host bands, the situation is qualitatively different:

\[ l_s^{-1}(\omega \leq \Omega^{(TO)}) \simeq \left( \frac{\pi^{1/2}}{16} \frac{\Omega^{(TO)}_0}{\omega^2 - \Omega^{(TO)}_0} \right)^4 \frac{p a^3}{\lambda^4(\Omega^{(TO)}_0)} \sim p, \]

(57)

\[ l_s^{-1}(\omega \geq \Omega^{(LO)}) \simeq \left( \frac{\pi^{1/2}}{16} \frac{\Omega^{(LO)}_0}{\omega^2 - \Omega^{(LO)}_0} \right)^4 \frac{p a^3}{\lambda^4(\Omega^{(LO)}_0)} \sim p, \]

where

\[ \alpha_2 = \frac{2}{3} \frac{d_{0}^2(\Omega^{(LO)}_0 - \Omega^{(LO)}_1)(\Omega^{(LO)}_1 - \Omega^{(LO)}_0)}{(\Omega^{(LO)}_0 - \Omega^{(LO)}_1)(\Omega^{(LO)}_1 - \Omega^{(LO)}_0)} \]

\[ \alpha_3 = \frac{2}{3} \frac{d_{0}^2(\Omega^{(LO)}_1 - \Omega^{(LO)}_0)}{(\Omega^{(LO)}_0 - \Omega^{(LO)}_1)(\Omega^{(LO)}_1 - \Omega^{(LO)}_0)} \]

are constants. This scattering length is much smaller than for impurity polaritons, and is decreasing with the increase of concentration, which is quite a regular behavior. Indeed, the perfectly ordered at the \( p = 0 \) system experiences increasing scattering due to the impurities. This difference between scattering properties of regular and impurity polaritons explains why our approach can be justified to describe the properties of the former, while the latter requires a more elaborate treatment of disorder.

VII. DISCUSSION

In this paper we suggested that certain features of the optical spectra of mixed crystals in the restrahlen region can be explained if, along with standard optical phonon vibra-
tions, one introduces additional impurity-induced oscillators with the frequency falling into the restrahlen of the host crystal. The justification for this assumption comes from the concept of local polaritons introduced earlier in Refs. 24–27 and studies of one-dimensional models,31–34 which showed how local polaritons develop into an impurity-induced band. Local polaritons are significantly different from local phonons, which were extensively studied in connection with the optical properties of mixed crystals. First, they are assumed to exist in the restrahlen region, which in many cases is filled with phonons. Therefore, it is not exactly a spectral gap, which is necessary for local states to exist. However, the dielectric function within the restrahlen remains negative, which means that electromagnetic excitations cannot exist in this frequency region, which is therefore a gap for electromagnetic excitations. This situation is reversed compared to the case of pure phonon gaps, which are deprived of phonon states, but contain electromagnetic ones. Because of the nonzero electromagnetic DOS, local phonons acquire their electromagnetic radiation width, and because of the nonzero phonon DOS, the local polaritons acquire their “phonon radiation” width. A significant difference between these two situations is that the density of electromagnetic states in the phonon gap is so small that the radiative broadening of local phonons is negligible, while the density of phonon states in the restrahlen is large, and local polaritons may or may not survive it. Analyzing known phonon DOS, we found that for some crystals like GaP, ZnS, CuBr, ZnTe, CuI, SrF$_2$, BaF$_2$, PbF$_2$, UF$_6$, and CaF$_2$,37 there are actually frequency regions with zero phonon DOS, though often quite narrow ones. Therefore, the right impurity could in principle give rise to a local state considered in Refs. 24–27. However, the restrahlen region of a much broader class of crystals like NaCl, NaBr, KCl, RbCl, and many others$^{37}$ is filled with LO phonons whose DOS within certain regions is relatively small. In the present paper we studied the lifetime of local polaritons due to an interaction with these LO phonons, and found that under regular circumstances this lifetime is no shorter than the one due to anharmonicity. We argued, therefore, that local polaritons can actually survive an interaction with restrahlen phonon states, and contribute to the optical properties of the crystals. Moreover, the presence of some local states within the restrahlen was confirmed experimentally in Ref. 21, where neutral dopants (S,Sn,Te) give rise to the local electron-phonon state at the frequency slightly below LO of GaP. What is interesting is that the region where these states reside has a relatively high density of phonon states, which obviously did not preclude them from existence. All these arguments justify the use of local polaritons to describe properties of the restrahlen of mixed crystals.

The second important property of local polaritons is that their spatial extent is of the order of optic wavelengths in the restrict. Their spatial extent is of the order of optic wavelengths in the local phonons to describe properties of the local electron-phonon state at the frequency slightly below that in Ref. 21, where neutral dopants (S,Sn,Te) give rise to the local electron-phonon state at the frequency slightly below LO of GaP. What is interesting is that the region where these states reside has a relatively high density of phonon states, which obviously did not preclude them from existence. All these arguments justify the use of local polaritons to describe properties of the restrahlen of mixed crystals. This dielectric function describes new impurity-induced polariton bands, which arise inside the restrahlen of the host crystal.

The first problem we set out to consider using the concept of impurity polaritons was the weak features in the spectra of the so-called one-mode crystals Ba/SrF$_2$, Ca/SrF$_2$, Zn/CdS, Mn/ZnTe, and the one-two-mode behavior of a different group of crystals In/GaP, PbSe/Te, K/RbI, RbBr/Cl, GaAs/Sh, InAs/Sh, AgBr/Cl,$^2$ and In/GaAs.$^38$ The existing descriptions of these types of spectra require a great number of fitting parameters. Our model allowed us to explain these types of spectra naturally as manifestations of the impurity polariton mode, which reveals itself differently depending upon the relation between fundamental frequencies of crystals at both ends of the concentration range and the frequency of the local polariton. Introducing relaxation in a phenomenological way, we considered reflection and transmission spectra, and demonstrated that our model survives rather strong dumping, and reproduces spectra closed to experimental observations. For rather thin samples our model predicts a resonant enhancement in the transmission at the frequency of the impurity polaritons, which accompanies an antiresonance in reflection. The latter was observed in many papers,$^1,2$ but was mostly attributed to impurity-induced absorption. The only transmission measurements known to us were performed on pure CuCl,$^{30}$ where transmission was found to exhibit a maximum similar to one predicted in our paper. CuCl is a peculiar material, since Cu atoms at low temperature can occupy several nonequivalent positions, thereby creating internal defects.$^{28,29}$ These off-center Cu atoms can be responsible for the impurity polariton band, and therefore, transmission spectra of CuCl can be considered as the first evidence of this band.

Since we took the retardation into account, we were able consider not only boundaries of the spectra but also dispersion laws, DOS, and group velocities of the impurity induced polaritons. One of the most remarkable properties of these excitations is that their group velocity is proportional to the concentration, and can be thousands of times smaller than the speed of light in vacuum. The smallness of the group velocity makes dispersion curves of the excitation look almost flat. Rather similar dispersion curves were measured experimentally in Ref. 28 in the one-two-mode mixed crystal Ga$_{0.70}$In$_{0.30}$P with the use of Raman spectroscopy. It would be interesting to carry out additional steady state and time-resolved experiments in this material, which could verify the predictions of our theory and provide more solid support for our concept of impurity-induced polaritons. Our approach also allowed us to study the scattering of impurity polaritons due to fluctuations of concentrations. We found that their scattering length is significantly different from the similar characteristics of regular polaritons of the host material. The scattering length of impurity polaritons is proportional to the concentration, making the scattering less efficient with an increase of concentration. Quantitatively, the scattering length is very large, much larger than the attenuation length due to inelastic dumping, making scattering due to concentration fluctuations negligible for impurity polaritons. This finding is in agreement with the results obtained for the one-dimensional model,$^{34}$ and provides a firm foundation for our approach. The scattering length for regular host polaritons, at
the same time, is inversely proportional to the concentration, and is rather short. Therefore, studying optical properties related to these excitations requires more elaborate theoretical approaches used in many papers on the subject (see, for instance, Ref. 20).

We hope that the present results will revive the interest of experimentalists in the properties of restrahlen of mixed crystals. In our opinion, it would be interesting to study transmission spectra through thin slabs of one-two-mode crystals in both continuous-wave and time-resolved experiments. Such experiments could provide additional insight into properties of impurity polaritons, and elucidate their dynamic properties, such as group velocities. Comparing experiment and present theoretical results, one can obtain additional information about the material parameters of these systems.

Note added in proof. A. J. Sievers drew our attention to an alternative explanation of the IR reflection spectra of CuCl. In Ref. 40, an additional absorption line inside the gap was attributed to the interaction of TO phonons with acoustic phonons arising from strong anharmonic terms in the potential of Cu atoms, rather than due to an additional polariton band associated with the off-center ions. Although there exists strong evidence in favor of the model discussed in Ref. 40, it does not explain, however, the enhanced transmission observed in Ref. 30.

ACKNOWLEDGMENTS

This paper was written in an attempt to answer some questions raised by A. J. Sievers in the course of many discussions. We want to express our deepest gratitude to him. We would also like to acknowledge discussions with J. Birman and A. Maradudin. We are indebted to S. Schwarz for reading and commenting on the manuscript. This work was partially supported by NATO Linkage Grant No. N974573 and by PSC-CUNY Research Grant.

7 A.A. Maradudin, in Lattice Dynamics (Ref. 6), p. 1.