

## 8 Transform and Conquer

Tuesday, November 19, 2024 10:57 AM

Problem Solving technique.

- 2 steps:
  - 1) transform the input.
  - 2) solve the problem

Transform the input/problem to a more convenient form or shape.

E.G. Presorting.

### Problem #1

Check whether all elements in a list are unique.

- Brute-force.

(python) def areUnique( l )

```
for x in l:  
    k = l.index(x)  
    for y in l[k+1:]:  
        if x == y:  
            return False  
return True
```

NOTE:

all functions have a cost.

for x in l is nice, but not always.

Analysis: basic operation: ==

worst case: every element is unique.

$$\begin{aligned} C(n) &= (n-1) + (n-2) + (n-3) + \dots + 1 \\ &= \frac{(n-1)n}{2} \in \Theta(n^2) \end{aligned}$$

Worst

$$= \frac{(n-1)n}{2} \in \Theta(n^2)$$

- Presort. (Transform the input)

- sort
- check that no two consecutive elements are the same

FUNCTION areUnique( $l[0 \dots n-1]$ )

sort( $l$ )

for  $i \leftarrow 0$  to  $n-2$  do  
 { If  $l[i] == l[i+1]$  then  
 return false  
 return true.

} sort

} Scan

Analysis:- basic operation ==

Worst case:- all elements are unique

$$\begin{aligned} C(n) &= C_{\text{sort}}(n) + C_{\text{scan}}(n) \\ &\stackrel{\text{worst}}{=} \Theta(n \log n) + n-1 \\ &= \Theta(n \log n) + \Theta(n) \\ &= \Theta(n \log n) \end{aligned}$$

- Problem #2: Find the "mode" in a list.

Mode: the value that occurs the most

try 1: Brute-force

- Need a list of items and their frequency
- for every element  $x$  in the input
  - find the frequency of  $x$  and increase  $L$  by 1
  - if  $x$  is not in the frequency list, add it with value 1
- find value with highest frequency.

$l$	$x$   $x$
$f$	$x$   $y$   $z$     2   3   1

f

X	y	z
2	3	1

$\xrightarrow{\text{Search.}}$

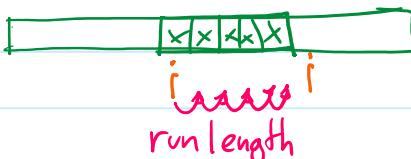
Worst Case: all elements are unique.

$$1+2+3+4+\dots+n-1 \in \Theta(n^2)$$

try 2: presort!!

Sorted l (xxxx) (yyy) (zz)

- look for the largest cluster:



FUNCTION Presort Mode ( l[0..n-1] )

sort(l)

mode freq  $\leftarrow 0$   
mode value

i  $\leftarrow 0$

while i  $\leq n-1$  do

    runlength  $\leftarrow 1$

    run value  $\leftarrow l[i]$

    while i + runlength  $\leq n-1$  and l[i+runlength] = run value do

        runlength  $\leftarrow$  runlength + 1

    if runlength > mode freq then

        mode freq  $\leftarrow$  runlength

        mode value  $\leftarrow$  run value

    i  $\leftarrow$  i + runlength

return mode value.

trace

0	1	2	3	4	5	mode
1	1	2	3	3	3	1, 2
i	i	i				3, 3

Analysis basic operation.

$$\begin{aligned}
 C(n) &= C_{\text{sort}}(n) + C_{\text{scan}}(n) \\
 &= \Theta(n \log n) + n-1 \\
 &= \Theta(n \log n) + \Theta(n)
 \end{aligned}$$

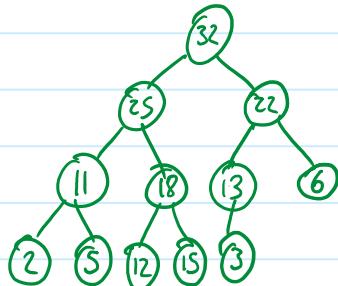
$$\begin{aligned}
 &= \Theta(n \log n) + n - 1 \\
 &= \Theta(n \log n) + \Theta(n) \\
 &= \Theta(n \log n)
 \end{aligned}$$

## • Heaps & HeapSort

What is a heap?

- A binary tree.
- Shape - every level except the last one is complete  
the last level is filled from left to right.
- heap. - every node is greater\* than both its children  
*\* maxheap.*

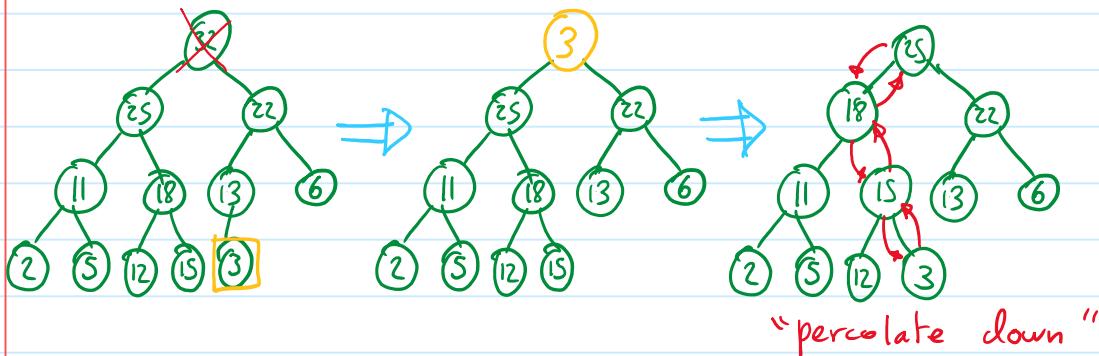
Note: nothing is said about siblings



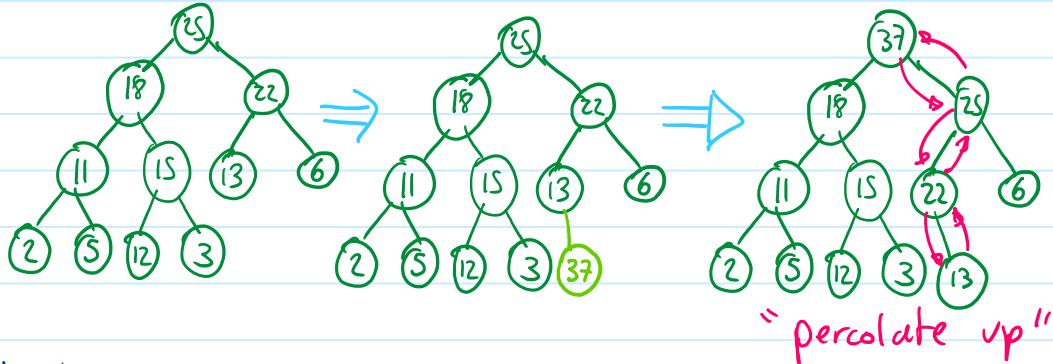
Widely used to implement "priority Queues"

- operations
- find the greatest element. → the root
  - delete element with greatest value
  - add a new element to the heap

• Delete greatest



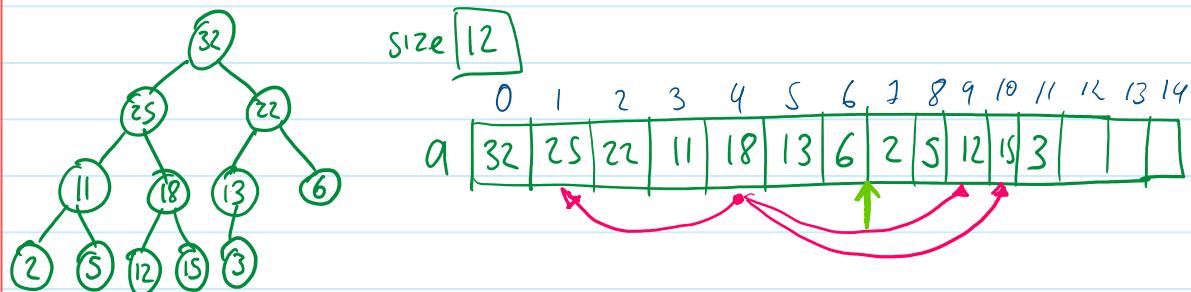
- Add an element



### Analysis:

- A tree with  $n$  nodes has a height of  $\log n$
- Both "Add Element" "Delete greatest" require  $\Theta(\log n)$

- Implementation of a heap.



- Note: nodes in locations  $0 \dots \left\lfloor \frac{n}{2} \right\rfloor$  are internal nodes  
 . nodes in locations  $\left\lfloor \frac{n}{2} \right\rfloor + 1$  to  $n-1$  are the bottom layer  
 • the parent of node at  $i$  is at  $\left\lfloor \frac{i-1}{2} \right\rfloor$   
 • the children of node at  $i$  are at positions  $2i+1$  and  $2i+2$

- HEAPSORT

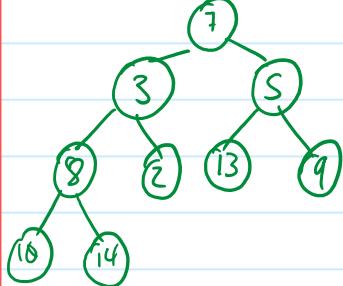
To sort an list

- Transform the list into a heap
- Use the "find greatest" and "delete greatest" operations to sort the array from back-to-front

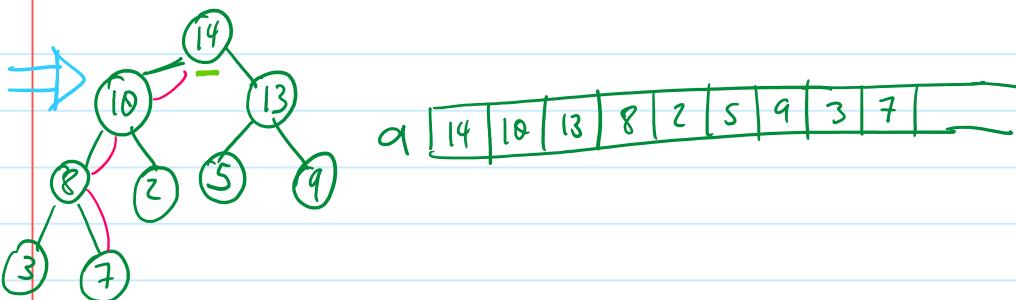
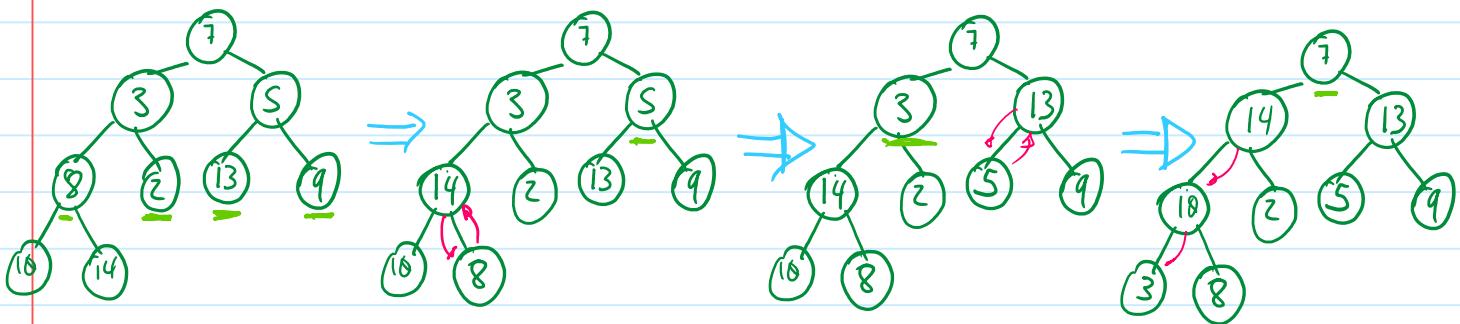
#1 - transform:

a	7	3	S	8	2	13	9	10	14
---	---	---	---	---	---	----	---	----	----

How can we "heapify" the array?



- for each intermediate node  $x$  percolate  $x$  down to its appropriate place.



a	14	10	13	8	2	5	9	3	7
---	----	----	----	---	---	---	---	---	---

FUNCTION heapify ( $a[0..n-1]$ )

```

FOR i ← ⌊n/2⌋ DOWNTO 0 DO
    k ← i
    v ← a[k]
    done ← false
    WHILE not done and  $2*k+1 < n$  DO
        best-child ←  $2*k+1$ 
        IF  $2*k+2 < n$  THEN
            IF a[best-child] < a[best-child+1] THEN
                best-child ← best-child+1
            IF v > a[best-child] THEN
                done ← true
            ELSE

```

while node  $k$  has children.

$2*k+1 < n$

best-child ←  $2*k+1$

IF  $2*k+2 < n$  THEN

IF a[best-child] < a[best-child+1] THEN

best-child ← best-child+1

IF v > a[best-child] THEN

done ← true

ELSE

```

    done ? --> ...
    |
    |   done <- true
    |   ELSE
    |       a[k] <- a[best_child]
    |       k <- best_child
    |   a[k] <- v
}

```

Analysis:

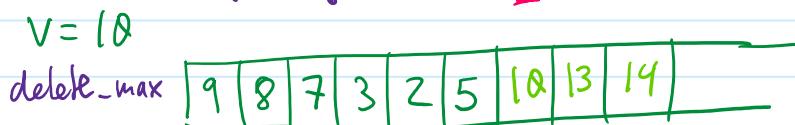
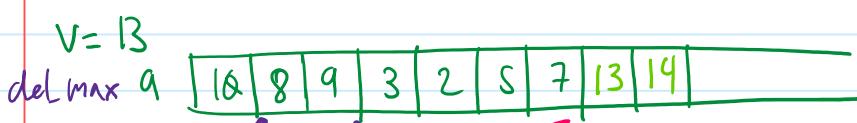
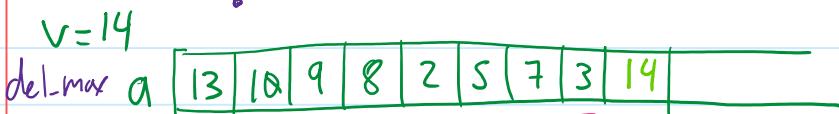
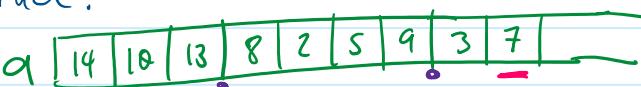
$$C(n) = \frac{n}{2} \cdot \log n \text{ is } \Theta(n \cdot \log n)$$

## #2 Sort

FUNCTION heapSort( a[0..n-1] )  
 heapify(a)

FOR i ← n-1 DOWNTO 1 DO  
 {  
 v ← getMax(a)  
 deleteMax(a)  
 a[i] ← v
}

Trace:-



Analysis:

$$C(n) = C_{\text{heapify}}(n) + n \cdot C_{\text{delete}}(n)$$

$$\begin{aligned}C(n) &= C_{\text{heapsort}}(n) + n \cdot C_{\text{delete}_{\max}}(n) \\&= \Theta(n \cdot \log n) + n \cdot \Theta(\log n) \\&= \Theta(n \cdot \log n)\end{aligned}$$

Empirically:-

heapsort is slightly better than mergesort

(+) heapsort is in-place.

heapsort is slightly slower than quicksort.

